

2867 #55 11-7-02

TC 2800 MAIL ROOM

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Rajagopalan Srinivasan et al.

Serial No.:

10/008,552

Filing Date:

11/13/2001

Title:

METHOD AND APPARATUS FOR

MANAGING PROCESS TRANSITIONS

Group Art Unit:

2857

Attorney's Docket No.:

**NUS-14** 

Assistant Commissioner For Patents Washington, D.C. 20231

Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby make the following documents of record in the above-identified application:

## U.S. Patents

- (1) U.S. Patent No. 4,678,622 issued 07/07/87 to Rowe et al.
- (2) U.S. Patent No. 5,023,045 issued 06/11/91 to Watanabe et al.
- (3) U.S. Patent No. 5,070,468 issued 12/03/91 to Niinomi et al.
- (4) U.S. Patent No. 5,099,436 issued 03/24/92 to McCown et al.
- (5) U.S. Patent No. 5,392,320 issued 02/21/95 to Chao
- (6) U.S. Patent No. 5,511,004 issued 04/23/96 to Dubost et al.

A copy of the document, which is listed on the accompanying Form PTO-1449 (one page), is enclosed herewith. Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of Form PTO-1449 (one page), as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

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OCTOBER 31, 2002

Jumes A. SHERIDAN

OCTOBER 31, 2002

(DATE OF SIGNATURE)

PS/NUS14.IDS

Respectfully submitted,

annes a. Sheridan 10/31/02

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known					
Application Number	10/008,552				
Filing Date	11/13/2001				
First Named Inventor	Rajagopalan Srinivasan				
Group Art Unit	2857				
Examiner Name	Unknown				
Attorney Docket Number	NUS-14				

		U.S. PATENT DOC	JMENTS	
Examiner Cite No.1	U.S. Patent Document  Kind Code <sup>2</sup> Number  (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	4,678,622	Rowe et al.	07-07-1987	
	5,392,320	Chao	02-21-1995	
	5,023,045	Watanabe et al.	06-11-1991	
	5,070,468	Niinomi et al.	12-03-1991	
	5,099,436	McCown et al.	03-24-1992	7
	5,511,004	Dubost et al.	04-23-1996	2
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				FOR	EIGN PATENT DOCUMENT	rs		
Examiner Initials*	Cite No.1	Foreign Patent Document  Kind Code <sup>5</sup> Office <sup>3</sup> Number <sup>4</sup> (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
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Examiner	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.